



PTO/SB/ 10 (6-95) (modified)
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

DFW

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c))—SMALL BUSINESS CONCERN

Docket Number (Optional):
37979/AJ/1p

Applicant or Patentee: **ASSO WERKE S.R.L.**

Application or Patent No.: **USSN. 10/625,872**

Filing Date or Issue Date: **Filed July 24, 2003**

Title: **SPHEROIDAL CAST IRON PARTICULARLY FOR PISTON RINGS AND METHOD FOR OBTAINING A SPHERICAL CAST IRON**

I hereby declare that I am

☐ the owner of the small business concern identified below:

☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN **ASSO WERKE S.R.L.**

ADDRESS OF SMALL BUSINESS CONCERN **Via Del Battaglione 26**
56030 CALCINAIA - ITALY

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 37 CFR 1.21, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

☐ the specification filed herewith with title as listed above.

☒ the application identified above.

☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention must file separate verified statements averring to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization having any rights in the invention is listed below:

☒ No such person, concern, or organization exists.

☐ Each such person, concern or organization is listed below:

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING **AGOSTINI NICOLA**

TITLE OF PERSON IF OTHER THAN OWNER **AMMINISTRATORE UNICO Sole Administrator**

ADDRESS OF PERSONS SIGNING **CORTE S. DOMENICO A, N° 6 - PISA - ITALY**

ASSO WERKE s.r.l.

SIGNATURE

AGOSTINI NICOLA

DATE **January 18, 2006**

Certificate No.: CEW/8101/2005/CPI0109

September 19, 2005

CHAMBER OF COMMERCE INDUSTRY ARTISANSHIP AGRICULTURE OF PISA

- ENTERPRISES REGISTER OFFICE -

CERTIFICATE OF REGISTRATION

PARTICULAR OF THE ENTERPRISE

Fiscal Code and Registration No.: 01711180503

of the Enterprises Register of PISA

Registered in the ORDINARY section

on July 19, 2004

Registered with the Economical Administrative Register No.: 148635

Name: ASSO WERKE S.R.L.

Legal status: LIMITED LIABILITY COMPANY

Address: Via del Battaglione 26, 56030 Calcinaia (Pisa) Italy

Incorporated with deed on July 3, 2004

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- Merger by incorporation of:

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- ERRE-VIS S.P.A. of CALCINAIA – FORNACETTE (Pisa)

Economic Administrative Register No. 77928

Fiscal Code No. 00677970501

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Date of the resolution: April 20, 2005

Date of Execution: June 28, 2005

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FOR THE REGISTRAR

THE OFFICER IN CHARGE

ANNELISA LAPI

Signature of Annelisa Lapi

Seal of the Chamber of Commerce of Pisa

end of certificate